

***TRUSTED PARTNER IN YOUR GROWTH***  
*Alternative Investment Funds & Portfolio Management Services*

- Bespoke Custody & Clearing Solutions
- Professional Fund Accounting Services
- Integrated Digital Platform
- Order Management Systems

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Fund Administration  
Leaders Program



# Introduction to Edelweiss Wealth Management

# Introduction to Edelweiss Wealth Management (EWM)

## Edelweiss Wealth Management

Integrated platform led model supported by state-of-the-art technology platform

### Wealth Management

~ INR 204,000 cr.  
of AUA

Amongst top 2 independent  
wealth management players

### Institutional Securities

Asset Services -  
Clearing  
& Custody business

Largest domestic Institutional  
brokerage house by volume

### Advisory

Market Share: ECM: 3.6%  
Fixed Income: 4.6%  
Advisory: 1.8%

Rank 1st for debt public issues

### Investment Management

~ INR 1,500 cr.  
of AUM

Specialists in alternatives.  
Market leaders in structured  
products

## Leadership and scale in every business we operate in:

- PAG (Pacific Alliance Group in Hong Kong) has acquired stake of 55.84% in EWM business
- PAG is one of the world's largest Asia-focused private investment firms, with USD \$40 billion in assets under management

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# About Edelweiss Asset Services

Edelweiss Asset Services meets evolving needs of Asset Managers by offering an integrated suite of services across asset classes

We build trusted, long-term relationships with our clients by providing objective and strategic advice, as well as operational support to help them address their investment needs

## IICG

### International Institutional Client Group

- SEBI registered DDP
- Set-up advisory for FPIs
- Custody & Clearing
- Research & Execution Services
- Foreign Direct Investment

BUSINESS

## DICG

### Domestic Institutional Client Group

- Custody & Clearing
- Fund Accounting
- Set-up advisory for funds
- Order Management Services
- Digital Onboarding Services

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# Edelweiss Asset Services – One Stop Shop



## Fund Set-up Advisory

Conceptualization of Fund

Outline brief tax & regulatory implications

Assistance in SEBI applications

Advisory for GIFT city fund setup

## Order Management system

Frontend system to monitor & manage client allocation and create trade file for brokers

Model & rebalance portfolio

## Delivering the Platform

Also offer Broking, Research, Corporate Access, Distribution, Investment banking and funding services

## Custody Services

State of Tech platform (Intellect, Web Custody)

Safe keeping

Settlement

Corporate Action

## Derivatives Clearing

Clearing of trades across all exchanges and asset classes

Market share in clearing: ~12% in NSE F&O

Online Real-Time Risk Monitoring Systems

## Fund Accounting (Wealth spectrum)

Reporting- Compliance, Fund Level, Client Level

Daily NAV & Capital Gain Calculations

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# Custody: Stakeholders

## Custodian

Acts in a fiduciary capacity on the instructions of the client

Safekeeping of client assets

Corporate actions

Trade matching and confirmation

Settlement of Trades

Reporting

Record keeping

Complying with various regulatory stipulations

## Clearing Member

Collection & reporting of required margins for derivative trades

Trade confirmation

Settlement of trade obligation

Sharing daily reports

Record keeping

## Broker

Trade execution as per client's instruction

Dissemination of trade information to custodian

Margin collection, reporting & Settlement of hand delivery trades

# Fund setup with a Custodian

## STEP 1 : ACCOUNTS SETUP



Setup of Bank, Demat & Custody Accounts along with CP Code setup

## STEP 2 – KICK START OF OPERATIONS



Setting up detailed calls with requisite teams and explaining the process for inflow of money from domestic/foreign investors

## STEP 3 – INITIATION OF OPERATIONAL ACTIVITIES



Sharing and Assistance in all Instructions template and follow-up with all Stakeholders involved

## STEP 4 – ACCESS OF DATA



Access to Custody and Bank portals to fetch latest data

## STEP 5 – SHARING OF REPORTS/STATEMENTS



Sharing of holding reports, SOA's and demat statements

### CUSTODY ROADMAP

## Need and benefit of having a custodian

Per Regulation

Ease of operations

Confidence to Investors

Bespoke reporting

Cost savings and error free transactions



# Edelweiss Asset Services – Comprehensive Suite of Custody Services

Co-ordination with various stakeholders like Brokers, Auditors, Banks, Clearing member, etc for transaction settlement or queries



# Web Custody



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# Digital Onboarding

Balancing Client Experience, compliance, speed and accuracy in onboarding clients digitally



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# Derivatives Clearing – Professional Clearing Member (PCM)



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# Scenarios

Scenario I	
Fund Type	Multi Geography Fund
Domiciled	Mauritius, Singapore, IFSC Gift City etc.
Service Providers – Globally	<ul style="list-style-type: none"> <li>• Global Custodian &amp; Sub Custodian network</li> <li>• Independent Custodian – geography specific</li> <li>• Independent Fund Admin</li> </ul>
Service Provider – India	<ul style="list-style-type: none"> <li>• Global custodian through its local setup</li> <li>• Independent Custodian</li> <li>• Clearing member &amp; Broker</li> </ul>

Scenario II	
Fund Type	India Dedicated Fund
Service Providers – Globally	<ul style="list-style-type: none"> <li>• Independent Fund Admin &amp; Fund Accountant</li> </ul>
Service Providers – India	<ul style="list-style-type: none"> <li>• Designated Depository Participant</li> <li>• Custodian</li> <li>• Clearing member</li> <li>• Broker</li> </ul>

# Scenarios

Scenario III	
Fund Type	AIF – Category I & II
Service Provider – India	<ul style="list-style-type: none"> <li>• Custodian &gt; INR 500 crs</li> <li>• Fund Accountant (In house or third party)</li> <li>• Fund Admin (RTA, Fund Accounting, Accounting, Compliance &amp; Audits, Investor Servicing)</li> <li>• Broker, Trustee, Merchant banker</li> </ul>

Scenario IV	
Fund Type	AIF – Category III (Single/ Multiple Schemes)
Service Providers – India	<ul style="list-style-type: none"> <li>• Single or Multiple Custodian (from day one)</li> <li>• Fund Accountant (In house or third party)</li> <li>• Clearing member</li> <li>• Fund Admin (RTA, Fund Accounting, Accounting, Compliance &amp; Audits, Investor Servicing)</li> <li>• Broker, Trustee, Merchant banker</li> </ul>

## Awards & Credentials



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